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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450.

P A Qat

ated: <u>3/14/07</u>

Signature:

Jalu (Saus (Valerie (J. Sarosky)

Docket No.: ZIPH-009-102

(PATENT)

MAR 1 6 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Pre Patent Application of:

Nickel et al.

Application No.: 10/686,809

Confirmation No.: 8786

Filed: October 17, 2003

Art Unit: 1614

For:

INDOLYL-3-GLYOXYLIC ACID

Examiner: J. D. Anderson

DERIVATIVES HAVING THERAPEUTICALLY

VALUABLE PROPERTIES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (SIDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application,

AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

A summary/abstract translation of the non-English language references (BA-BB) is enclosed.

In accordance with 37 CFR 1.98(a)(2)(ii), Applicants have not submitted copies of U.S. patents and U.S. patent applications. Applicants submit herewith copies of foreign patent documents and non-patent literature documents in accordance with 37 CFR 1.98(a)(2).

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Application No.: 10/686,809 Docket No.: ZIPH-009-102

In accordance with 37 CFR 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Supplemental Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Please charge our Deposit Account No. 18-1945 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. ZIPH-009-102. A duplicate copy of this paper is enclosed.

Dated: March 14, 2007

Respectfully submitted,

Maya Escobar, Ph.D.

Registration No.: 56,346

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Attorneys/Agents For Applicant

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MAR 1 6 2007

PTO/SB/08a/b (07-06) Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Complete if Known Substitute for form 1449A/B/PTO Application Number 10/686,809 INFORMATION DISCLOSURE October 17, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Bernd Nickel N/A Art Unit (Use as many sheets as necessary) Examiner Name Not Yet Assigned 1 ZIPH-009-102 Sheet 1 of Attorney Docket Number

U.S. PATENT DOCUMENTS						
Examiner Initials*			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	US-5,405,864	04-11-1995	Broka		
	AB	US-6,693,119-A1	02-17-2004	Nickel et al.		

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code³-Number⁴-Kind Code⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	ВА	WO-98/09946	03-12-1998	Asta Medica Ag				
	BB	DE-2315989	10-04-1973	Nelson Res & Dev				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if particularly appropriate is to place a check mark here if English locations. Together in attached. possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publi and/or country where published.					
	CA	Caplus AN 1999:708761, Hofgen Norbert et al., RN 247584-34-5. (Abstract only)				
	СВ	Fiszer-Maliszewska et al., "Immunomodulation and Therapeutic Effects of Cytostatics," Zbl. Bakt. Suppl. 13, pp. 215-230 (1985).				
	CC	Lipp et al., "Some derivatives of 5-benzyloxy-3-indolylglyoxylic acid," CA 52:18374 (1958).				
	CD	Ooi VE, Liu F., Curr. Med. Chem. 2000 July 7(7):715-29, NCBI abstract.				
	CE	Podwinski et al., "Synthesis of some 5-benzyloxyindole-3-glyoxylic acid amides," CA 70(9):37598 (1969).				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date
Signature	Considered

Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/17 (07-06)
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/	Complete if Known							
Fees pursuant to	Effective on 12/08 the Consolidated Approj		.R. 4818).	Application No	umber	10/686,809		
FEE	TRANS	MITTAL	_	Filing Date October 17,			, 2003	
			•	First Named Inventor Bernd Nicke			<u> </u>	
<u> </u>	For FY 2	005		Examiner Name Not Yet Ass			igned	
x Applican	t claims small entity sta	tus. See 37 CFR 1.2	27	Art Unit N/A				
TOTAL AMOU	NT OF PAYMENT	(\$) 180.00)	Attorney Dock	et No.	ZIPH-009-10	2	
METHOD OF	PAYMENT (check	all that apply)					·	
Check	Credit Card	Money Order	No	ne Othe	r (please ide	entify):		
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For the	above-identified dep	osit account, the [Director is	s hereby authori	zed to: (ch	eck all that apply	/)	
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	harge any additional e(s) under 37 CFR		yment of	x Cred	lit any over	rpayments		
FEE CALCU	LATION							-
1. BASIC FILIN	G, SEARCH, AND E	XAMINATION FE	ES					
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Application Ty	ype Fee (Small Entity Fee (\$)	Fee (\$	Small Entit Fee (\$)	У <u>Fee (</u> \$	Small Entity Fee (\$)		Paid (\$)
Utility	300	150	500	250	200	100	***	
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0	0	0 .		
2. EXCESS CL	AIM FEES							Small Entity
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	ent claim over 3 (inc	luding Reissues)					200	100
Multiple depend	dent claims						360	180
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3. APPLICATIO	3. APPLICATION SIZE FEE							
If the specifica	ation and drawings e	xceed 100 sheets	of paper	(excluding elec	tronically	filed sequence of	or computer	
listings und	der 37 CFR 1.52(e)), action thereof. See	the application si	ze fee di	ie is \$250 (\$12)	o for small	entity) for each	additional 5	0
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4. OTHER FEE(\$) Fees Paid (\$)							Paid (\$)	
Non-English Specification, \$130 fee (no small entity discount)								
Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00								
SUBMITTED BY								
Signature	Mu et			Registration No. (Attorney/Agent)	56,34	6 Telephone	(617) 95	51-7173
Name (Print/Type)	Maya Escobar, F	h.D.		· · · · · · · · · · · · · · · · · · ·		Date	March 1	4, 2007

I hereby the date	certify that this paper (along with shown below with sufficient pos	n any paper referred tage as First Class	l to as being Majl, in an er	attached or enclosed) is be evelope addressed to: MS	eing deposited with the U.S. Postal Serv Amendment, Commissioner for Patents	rice on s, P.O.
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Dated:	3/14/07	Signature:	Cala	1 Farash	(Valerie J. Sarosky)	

PTO/SB/92 (09-04)

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Application No. (if known): 10/686,809

Attorney Docket No.: ZIPH-009-102

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Form PTO/SB/08a/b (9 References) (1 page) Copies of References (7) (BA-BB; CA-CE)